

P24851.P01

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

012304

13281  
U.S. PTO

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

P24851

Total Pages

Inventor(s) or Application Identifier:

Junichi IDE, Haruhiko YAMAGUCHI, Futoshi KOBAYASHI, Tsuyoshi ITOH, Takeshi UMEA, Yoshimi YODOGAWA, and Mamoru USAMI

Title: CUT-FORMING MACHINE AND OPTICAL RECORDING MEDIUM-MANUFACTURING APPARATUS

ADDRESS TO:

Commissioner for Patents  
Mail Stop Patent Application  
PO Box 1450  
Alexandria, VA 22313-1450

10/17/2015  
U.S. PTO22399  
10/17/2015  
U.S. PTO**APPLICATION ELEMENTS****ACCOMPANYING APPLICATION PARTS**

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
  - a.  Small Entity Statement(s)
3.  Specification [Total Pages 47]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 USC 113) [Total Sheets 10]
5.  Oath or Declaration [Total Pages 5]
  - a.  Newly executed (original or copy)  Unexecuted
  - b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 20 completed)  
[Note Box 6 below]
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Incorporation By Reference (useable if Box 5b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7.  Application Data Sheet. See 37 CFR 1.76
8.  CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)

9. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies
10.  Assignment Papers (cover sheet & document(s))
11.  37 CFR 3.73(b) Statement  Power of Attorney  
(when there is an assignee)
12.  English Translation Document (if applicable)
13.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
14.  Preliminary Amendment
15.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
16.  Figure of Drawing to be published: 7
17.  Foreign priority claimed
  - a.  Claim of Priority
  - b.  Certified Copy of Priority Document(s)
18.  Nonpublication Request under 35 U.S.C. 122 (b) (2) (B) (i).  
Applicant must attach form PTO/SB/35 or its equivalent.
19.  Assignee: TDK Corporation, of Tokyo, Japan
20.  Other: Cover Letter Regarding Executed Application

21. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 C.F.R. 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior Application No. /, filed \_\_\_\_\_.  
Prior application information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

Address all future correspondence to Customer No. 7055 at the present address of:

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1950 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191

1/23/04

Date

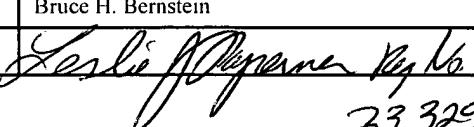
Bruce H. Bernstein, Reg. No. 29,027  
Signature 33,329

Bruce H. Bernstein, Reg. No. 29,027  
Typed or Printed Name

## FEE TRANSMITTAL

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METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																																																																									
1. <input checked="" type="checkbox"/> The U.S Patent and Trademark Office is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number <u>19-0089</u> Deposit Account Name <u>GREENBLUM &amp; BERNSTEIN, P.L.C.</u>  <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3)) <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) <input type="checkbox"/> Applicant Claims Small Entity Status See 37 CFR 1.27.				3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td>Prior to Examiner action</td><td></td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>1252</td><td>420</td><td>2252</td><td>210</td><td>Extension for response within 1st month</td><td></td></tr> <tr><td>1253</td><td>950</td><td>2253</td><td>475</td><td>Extension for response within 2nd month</td><td></td></tr> <tr><td>1254</td><td>1,480</td><td>2254</td><td>740</td><td>Extension for response within 3rd month</td><td></td></tr> <tr><td>1255</td><td>2,010</td><td>2255</td><td>1,005</td><td>Extension for response within 4th month</td><td></td></tr> <tr><td>1401</td><td>330</td><td>2401</td><td>165</td><td>Extension for response within 5th month</td><td></td></tr> <tr><td>1402</td><td>330</td><td>2402</td><td>165</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1403</td><td>290</td><td>2403</td><td>145</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1453</td><td>1,330</td><td>2453</td><td>665</td><td>Petition to revive unavoidably abandoned application</td><td></td></tr> <tr><td>1501</td><td>1,330</td><td>2501</td><td>665</td><td>Petition to revive unintentionally abandoned application</td><td></td></tr> <tr><td>1502</td><td>480</td><td>2502</td><td>240</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1503</td><td>640</td><td>2503</td><td>320</td><td>Design issue fee</td><td></td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td>Plant issue fee</td><td></td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Submission of IDS</td><td></td></tr> <tr><td>1809</td><td>770</td><td>2809</td><td>385</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>1810</td><td>770</td><td>2810</td><td>385</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>1801</td><td>770</td><td>2801</td><td>385</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td colspan="4"></td><td colspan="4">Request for expedited examination of a design application</td></tr> <tr> <td colspan="4">Other fee (specify) _____</td> <td colspan="4">Other fee (specify) _____</td> </tr> <tr> <td colspan="4"></td> <td colspan="4" style="text-align: right;">SUBTOTAL (3) (\$ <u>40.00</u>)</td> </tr> </tbody></table>				Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Entity Fee (\$)	Fee Description	Fee Paid	1051	130	2051	65	Surcharge - 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## SUBMITTED BY

Typed or Printed Name	Bruce H. Bernstein			Complete (if applicable)
Signature		Date	1/23/04	Reg. Number
				29,027
				Deposit Account User ID

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Junichi IDE et al.

Applications Branch

Appln. No. : Not yet assigned

Filed : Concurrently herewith

For : CUT-FORMING MACHINE AND OPTICAL RECORDING  
MEDIUM-MANUFACTURING APPARATUS

**COVER LETTER REGARDING EXECUTED APPLICATION**

Commissioner for Patents  
Alexandria, VA 22313-1450

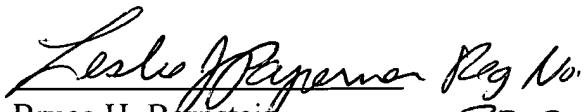
Sir:

The undersigned points out that the executed application being filed herewith includes pages 1 - 5 of the executed declaration which were forwarded to the undersigned by Applicants' Japanese representative on January 23, 2004, and pages 1 - 47 of the specification (with Figures 1 - 20) which were earlier forwarded to the undersigned by Applicants' Japanese representative on January 23, 2004.

Accordingly, Applicants respectfully submit that the present application is properly executed and should be considered as filed in executed form. However, if the U.S. Patent and Trademark Office determines that the present application is not properly executed, Applicants respectfully request that the present application be treated as an unexecuted application under 37 C.F.R. 1.53(f).

Should there be any questions regarding this paper, please contact the undersigned at the below listed number.

Respectfully submitted,  
Junichi IDE et al.

  
Bruce H. Bernstein      Reg. No.  
Reg. No. 29,027      33,329

January 23, 2004  
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